

November 16, 2006

UT-Battelle Information Center  
Attn: General Counsel's Office  
1201 Oak Ridge Turnpike  
Suite 100  
Oak Ridge, TN 37830

Dear General Counsel of UT-Battelle:

The Project On Government Oversight (POGO) is overhauling and renewing its Federal Contractor Misconduct Database ([www.pogo.org/db/index.cfm](http://www.pogo.org/db/index.cfm)), a compilation of information from public resources regarding government contractors, including UT-Battelle. On February 28, 2006, we sent you information regarding findings relevant to UT-Battelle, which I have enclosed for your reference. As of today, we have not received a response from your office.

A written response is certainly in the best interest of everyone involved. It is the best way for your company to go on record regarding this process and the instances identified; your letter will be posted in its entirety on the database. Several companies have already responded and have expressed appreciation for the opportunity to express their views. POGO would prefer to receive a response by November 30, 2006 to ensure it is included with the launch of our new database.

If you have any questions, I can be reached at (202) 347-1122. Thank you for your time and consideration.

Sincerely,

Scott Amey  
General Counsel

Enclosure

## Instances of Misconduct

1.

Department of Energy Violations

Date: 11/18/2003 (Date of Preliminary Notice of Violation)

Misconduct Type: Other

Contracting Party: N/A

Court Type: Administrative

Amount: \$151,250

Disposition: Fine

Synopsis: On 11/18/2003, UT-Battelle was fined \$151,250 for violations of “(1) work processes involving HFIR maintenance planning, implementation and post maintenance testing; (2) work processes involving REDC operations; (3) quality improvement and management assessment as mentioned in the preceding paragraph; and (4) management programs involving inadequate organizational structure and interfaces.”

Document(s):

DOE Enforcement Action

2.

Violations of Nuclear Safety Requirements

Date: 12/16/2005 (Date of Preliminary Notice of Violation)

Misconduct Type: Other

Contracting Party: N/A

Court Type: Administrative

Amount: \$110,000

Disposition: Fine

Synopsis: “The Department of Energy (DOE) today notified UT-Battelle, LLC and Fluor Hanford Inc. that it will fine the companies \$110,000 and \$206,250, respectively, for violations of the department’s nuclear safety requirements... DOE recognized the positive steps being taken by UT-Battelle senior management to improve nuclear safety performance and reduced a higher fine to the current amount, \$110,000.”

Document(s):

DOE PNOV

DOE Press Release